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From: R. Burns Israelsen
Our File: 15436.135.1

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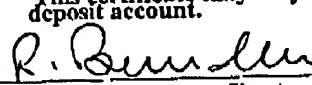
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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No. 15436.135.1
Applicant(s): Johnny Zhong, et al.			
Application No. 10/724,426	Filing Date 11/26/2003	Examiner Unknown	Group Art Unit 2872
Invention: OPTICAL ADD/DROP MODULE			
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P18/REV02

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 15436.135.1	
In Re Application Of: Johnny Zhong, et al.					
Application No. 10/724,426	Filing Date 11/26/2003	Examiner Unknown	Customer No. 022913	Group Art Unit 2872	Confirmation No. 1047
Title: OPTICAL ADD/DROP MODULE					
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;">OR</p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					

P10A/REV03

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 15436.135.1	
In Re Application: Johnny Zhong, et al.						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
10/724,426	11/26/2003	Unknown	022913	2872	1047	
OPTICAL ADD/DROP MODULE						
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<div style="display: flex; justify-content: space-between;"><div><p>*This certificate may only be used if paying by deposit account.</p><div style="margin-top: 10px;"><div style="display: inline-block; text-align: center;"> Signature</div><div style="margin-top: 5px;">R. BURNS ISRAELSEN Attorney for Applicant Registration No. 42,685</div></div><div style="margin-top: 10px;">Dated: July 28, 2004</div></div></div>						
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P10A/REV03

**RECEIVED
CENTRAL FAX CENTER****JUL 28 2004****PATENT APPLICATION
Docket No: 15436.135.1****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Johnny Zhong, et al.

Serial No.: 10/724,426

Confirmation No.: 1047

Filed: November 26, 2003

For: OPTICAL ADD/DROP MODULE

Examiner: Unknown

OFFICIALArt Unit
2872**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, patent applications, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed references are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

The accompanying Form PTO-1449 notifies the Examiner of the specified related pending U.S. patent applications listed therein. This notice is not an admission that the claims of the present application and the claims of the specified related pending patent applications are substantially similar or that the specified related applications represent prior art with respect to the present application.

DATED this 28 day of July, 2004.

Respectfully submitted,



R. BURNS ISRAELSEN
Attorney for Applicant
Registration No. 42,685
Customer No. 022913

RBI: blh
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Sheet 1 of 2

Form PTO-1449

Applicant: Johnny Zhong, et al.
Serial No.: 10/724,426
Filing Date: November 26, 2003
For: OPTICAL ADD/DROP MODULE

Att'y Docket No.: 15436.135.1
Group: 2872

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTRelated U.S. Patent Applications

<u>Examiner Initial*</u>	<u>Inventor(s)</u>	<u>Application Number</u>	<u>Filing Date</u>
___ 1	Zhong, et al.	10/724,471	11/26/2003
___ 2	Zhong, et al.	10/724,428	11/26/2003

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
___ 3	5,812,306	09/22/1998	Mizrahi
___ 4	5,652,814	09/29/1997	Pan, et al.
___ 5	5,754,718	05/19/1998	Duck, et al.
___ 6	6,388,783	05/14/2002	Weller-Brophy

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
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NONE

Examiner: _____ Date Considered: _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 2

Form PTO-1449

Applicant: Johnny Zhong, et al.
Serial No.: 10/724,426
Filing Date: November 26, 2003
For: OPTICAL ADD/DROP MODULE

Att'y Docket No.: 15436.135.1
Group: 2872

Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial*

NONE

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

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The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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